

Sankalp Vaity

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DOB:21/10/1999 | Address: Goregaon, Mumbai - 400065

CAREER OBJECTIVE

As a Chartered Accountant and an aspiring CFA, I possess strong financial acumen and an understanding of stock markets. My background in accounting, combined with a passion for stock picking and a love for reading, positions me well for a career in equity research where I can leverage my skills to identify high-potential investment opportunities.

EDUCATION

| Courses | University/Board | Year of passing | Grades |
|-------------|---|-----------------|------------|
| CFA level 1 | CFA Institute | Aug-24 | Pass |
| CA | Institute of Chartered Accountants of India | Nov-23 | 51.63% |
| B. Com | SVKM's Mithibai College of Arts, Chauhan Institute of Science & Amrutben Jivanlal College of Commerce and Economics | Oct-20 | 6.64 (GPA) |
| H.S.C | SVKM's Mithibai College of Arts, Chauhan Institute of Science & Amrutben Jivanlal College of Commerce and Economics | Mar-17 | 79.54% |
| S.S.C | St. Xavier's High School | Mar-15 | 88.20% |

CERTIFICATIONS

- | | |
|---|------------|
| • Financial Modelling and Valuation by The Wall Street School | June, 2023 |
| • NISM-Series XV: Research Analyst | June, 2023 |
| • NISM-Series-V-A: Mutual Fund Distributors | July, 2024 |

PROFESSIONAL EXPERIENCE

Tikona Capital – Equity Research Analyst (April 2024-Sep 2024)

Work profile: Focused on generating investment ideas that deliver over 20% returns annually. Experienced in monitoring portfolio company performance, maintaining detailed financial models, and engaging with the management of investee companies to gain insights and validate investment theses.

- Generated actionable ideas for stock and sector research
- Prepared Equity Research Reports and Presentations on research findings
- Developed and maintained financial models, valuation sheets and sector analysis
- Attended earnings calls, sectoral webinars and update research notes regularly
- Interacted with management of investee companies to discuss business outlook and growth opportunities
- Prepared Weekly newsletters on market performance, macro news, and upcoming key events
- Maintained portfolio performance and client trade data

MP Chitale & Co, Mumbai – Articled Assistant (Dec 2019-Dec 2022)

Work profile: Completed 3 years of articleship training with experience in audit and assurance of financial intermediaries like portfolio managers, distributors, and Broking houses. In this capacity, I developed a comprehensive understanding of the details involved in financial operations and regulatory compliance.

Internal/Concurrent Auditor for Portfolio Managers

- Verification of various expenses charged by the Portfolio Manager to its clients
- Reviewing Distributor Commission structures, verifying commission payables and adherence to Agreements and SEBI guidelines
- Verification of trades and its allocation carried out by portfolio manager on behalf of the clients
- Assisted the Audit Team to test controls stated in the Risk and Control Matrix (RCM) while conducting an Audit of Internal Financial Controls
- Reviewing Portfolio Management Services (PMS) agreements and disclosure documents
- Verification of corpus brought in and brought out by clients in the form of cash/securities
- Verification of reconciliations such as Bank Reconciliations, Reconciliation of Equity and Mutual Fund Holdings
- Setting up various audit processes with the help of Excel Spreadsheets

Half yearly Audit of Broking Operations

- Verifying various aspects of Broking like Brokerage, stamp duty, Securities transaction charges, MTM loss, etc.
- Review of various bank accounts and Demat accounts used for Broking activities from a regulatory compliance perspective
- Review of Pay in and Pay outs
- Verification of various regulatory reports made by brokers like enhanced supervision, risk-based supervision, net worth submission, financial indicators
- Review of various client-level communications as a part of regulatory requirements, like welcome letters, contract notes, quarterly statements, etc.
- Review of PMLA guidelines

ADDITIONAL SKILLS

Skills: MS Excel, Financial Modeling, Financial Statement Analysis, Presentation Skills

Language: English, Hindi, Marathi

Hobbies: Fitness Enthusiast, Reading, playing sports