

# Sankalp Vaity

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## CAREER OBJECTIVE

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As a Chartered Accountant and an aspiring CFA, I possess a strong financial acumen and understanding of stock markets. Additionally, the love for reading indicates a curiosity and thirst for knowledge. With my background in accounting and interest in stock picking, pursuing a career in equity research could align well with my skills and interests.

## EDUCATION

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Courses	University/Board	Year of passing	Grades
CA	Institute of Chartered Accountants of India	Nov-23	51.63%
B. Com	SVKM's Mithibai College of Arts, Chauhan Institute of Science & Amrutben Jivanlal College of Commerce and Economics	Oct-20	6.64(GPA)
H.S.C	SVKM's Mithibai College of Arts, Chauhan Institute of Science & Amrutben Jivanlal College of Commerce and Economics	Mar-17	79.54%
S.S.C	St. Xavier's high school	Mar-15	88.20%

## CERTIFICATIONS

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### NISM-Series XV: Research Analyst

- Understanding of financial markets and instruments
- Adherence to regulatory framework of Indian Financial Market
- Learned various valuation methodologies, such as Discounted Cash Flow (DCF), Relative Valuation and Comparable company analysis
- Understand the qualities of a good research report

### Certification Program on Financial Modelling and Valuation by The WallStreet School

- Performed Company Valuations utilizing-
  - Markets Approach (Relative Valuation – Trading Comps & Deal Comps)
  - Income Approach (Future Cash Flows - Discounted Cash Flows)
- Ratio Analysis of financial statements
- Built fully-integrated financial statements projection models including ability to run sensitivities within models
- Worked on company profiles, sector analysis, industry analysis etc.

### Institute of Chartered Accountants of India- Advanced Integrated Course on Information Technology and Soft Skills (AICITSS)

- MS Excel as Audit Tool
- Strengthened interpersonal communication, teamwork, and leadership skills

## PROFESSIONAL EXPERIENCE

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### **MP Chitale & Co, Mumbai – Articled Assistant (Dec 2019-Dec 2022)**

**Work profile:** Completed 3 years of articleship training with an experience in audit and assurance of financial intermediaries like portfolio managers, distributors and Broking house.

#### **Internal/Concurrent Auditor for Portfolio Managers**

- Verification of various expenses charged by the portfolio Manager to its clients
- Reviewing Distributor Commission structures, verifying commission payables and adherence to Agreements and SEBI guidelines
- Verification of trades and its allocation carried out by portfolio manager on behalf of the clients
- Assisted the Audit Team to test controls stated in the Risk and Control Matrix (RCM) while conducting an Audit of Internal Financial Controls
- Reviewing Portfolio Management Services (PMS) agreements and disclosure documents
- Verification of corpus brought in and brought out by clients in form of cash/securities
- Verification of reconciliations such as Bank Reconciliations, Reconciliation of Equity and Mutual Fund Holdings
- Setting up various audit processes with the help of Excel Spreadsheets

#### **Half yearly Audit of Broking Operations**

- Verification of various aspects of Broking like Brokerage, stamp duty, Securities transaction charges, MTM loss etc.
- Review of various bank accounts and Demat accounts used for Broking activities from regulatory compliance perspective
- Review of Pay in and Pay outs
- Verification of various regulatory reporting's made by brokers like enhanced supervision, risk-based supervision, net worth submission, financial indicators
- Review of various client level communications as a part of regulatory requirements like welcome letters, contract notes, quarterly statements etc.
- Review of PMLA guidelines

## ADDITIONAL SKILLS

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**Skills:** MS Office, Wealth Spectrum, Spark, Miles

**Language:** English, Hindi, Marathi

**Hobbies:** Fitness Enthusiast, reading, playing sports