

# Sudipto Swapan Ash

DOB: 05/03/1999 | E-mail: sudipash35@gmail.com  
Phone: 91-8452063759  
Address: B/107, Dharti Sagar Apt, behind kasturi park, Navghar  
road, Bhayandar (E), Maharashtra, 401105

## EDUCATIONAL QUALIFICATIONS

Course (Stream)/Examination	Institution/University	Year of Passing	Performance
B.Com(Accounting & Finance)	K.P.B Hinduja College (University of Mumbai)	2020	CGPI- 9.1
H.S.C	K.C college (Mumbaj)	2017	84.62% [550/650]
S.S.C	Saraswati vidyalaya (Bhayandar)	2015	89% [445/500]

## ADDITIONAL QUALIFICATIONS AND COURSES

- **Certified Financial Planner (2020)**  
Offered by financial planning board of India  
Learned about personal financial planning
- **National institute of securities market**  
NISM-Series VIII: Equity Derivatives (2021)  
Marks Obtained: 84.75/100  
  
NISM-Series VI: Depository Operation (2021)  
Marks Obtained: 75/100  
  
NISM-Series VII: Securities Operation and Risk Management (2021)  
Marks obtained: 57.5/100
- **The Complete Financial Analyst course offered by Career 365 on Udemy. (2021)**

## PROFESSIONAL EXPERIENCE

### **Kotak Securities Limited (Assistant manager) April 2021 – Present**

- Part of digital onboarding team where I have to guide our new clients on how to use our online platform.
- Advising client and helping them with their investment through risk profiling and according pitching our products
- Served with a base of 1500 clients

## AWARDS & ACHIEVEMENTS

- **Participation** – Stock mind – ICICI - 2018

## OTHER INTERESTS & ACTIVITIES

- Done online trading course, attend online seminars and read books about financial planning and equity markets
- Proficient in trading stocks (swing and trend trader) with eighteen month of experience
- Learning graphic designing
- Advance user of Microsoft Excel (VLOOKUP, SUMIFS, MACRO) & Microsoft Word

Profile in one sentence:

**A driven, motivated and dynamic individual looking for opportunities in equity operation roles**